

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. 09/945,293 filed on August 31, 2001  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor 1 Jonathan Houze Date: 1/9/02

Signature: *Jonathan Houze* Citizen of: United States

Inventor 2 Sharon McKendry Date: 01/07/02

Signature: *S. McKendry* Citizen of: United Kingdom

Inventor 3 Joshua P. Gergely Date: 01/08/2002

Signature: *Joshua P. Gergely* Citizen of: United States

Inventor 4 Yi Xia Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: China

☒ Additional inventors are being named on form(s) attached hereto.

Attorney Docket No.: 018781-005930US

Client Ref. No.: T99-009-1/US

PTO/SB/01A (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FULL NAME OF INVENTOR(S)**

Inventor 1 Jonathan Houze Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United States

Inventor 2 Sharon McKendry Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United Kingdom

Inventor 3 Joshua P. Gergely Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United States

Inventor 4 Yi Xia Date: 2/22/02

Signature: Yi Xia Citizen of: China

☒ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
WC 9032625 v1

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76) –  
ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
(Total of 2 forms are submitted.)**

**FULL NAME OF INVENTOR(S)**

Inventor 5: Bei Shan

Date: 1/9/02

Signature: 

Citizen of: United States

Inventor 6: Frank Kayser

Date: 01/04/02

Signature: 

Citizen of: Germany

Inventor 7: \_\_\_\_\_

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

Inventor 8: \_\_\_\_\_

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
WC 9032625 v1

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Jonathan Houze et al.Application No./Patent No.: 09/945,293Filed/Issue Date: August 31, 2001Entitled: FXR MODULATORSTularik Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

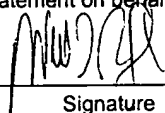
- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

FEBRUARY 8 2002

Date



Signature

William J. Rieflin

Typed or printed name

EXECUTIVE VICE PRESIDENT,Title ADMINISTRATION

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Dated: 2/22/02

Ni Xia

Yi Xia

SIGNATURE WITNESSED BY:

(1) Dated: 2/22/02

Fang-Jie Zhang

Fang-Jie Zhang

(2) Dated: 2/22/02

Holly Gregorio

Holly Gregorio

Dated: \_\_\_\_\_

Bei Shan

SIGNATURE WITNESSED BY:

(1) Dated: \_\_\_\_\_

(2) Dated: \_\_\_\_\_

Dated: \_\_\_\_\_

Frank Kayser

SIGNATURE WITNESSED BY:

(1) Dated: \_\_\_\_\_

(2) Dated: \_\_\_\_\_

Dated: \_\_\_\_\_

\_\_\_\_\_  
Yi Xia

SIGNATURE WITNESSED BY:

(1) Dated: \_\_\_\_\_

(2) Dated: \_\_\_\_\_

Dated: 1/9/02

Bei Shan  
Bei Shan

SIGNATURE WITNESSED BY:

(1) Dated: 1-9-02

(2) Dated: 1-9-02

Dated: 01/04/02

Frank Kayser  
Frank Kayser

SIGNATURE WITNESSED BY:

(1) Dated: 01/04/02

(2) Dated: 01/04/02